

Instructions: Please fill in this form, convert the document into a PDF-file and upload it in the document upload section of the BIGSSS-departs admission system. Should you have any problems creating PDFs out of your documents, please read the instructions on our <u>website</u>.

Last name of applicant: _____

First name of applicant: _____

Ethics Form

Please complete the following in-depth ethics self-assessment questionnaire on the research project you are applying with for the BIGSSS-departs program. Please tick (X) the appropriate box and provide further information if required:

| Yes | No | If yes, please indicate page in your research proposal | If yes, please describe how you will deal with this issue. For guidelines on what to include here, please refer to <u>section 1</u> of the "Guidance EU Ethics Self-Assessment BIGSSS-departs" document. |
|-----|-----|--|--|
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| | | | |
| | Yes | Yes No | indicate page in your research |



| 2. HUMANS | Yes | No | If yes, please indicate page in your research proposal | If yes, please describe how you will handle this issue. For guidelines on what to include here, please refer to <u>section 2</u> of the "Guidance EU Ethics Self-Assessment BIGSSS- departs" document. |
|--|-----|----|--|--|
| Does your research involve human participants? | | | | |
| Are they volunteers for social or human sciences research? | | | | |
| Are they persons unable to give informed consent? | | | | |



| Are they vulnerable individuals or groups? | | |
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| Are they children/minors? | | |
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| Are they patients? | | |
| Are they patients: | | |
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| Are they healthy volunteers for medical studies? | | | | | | | |
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| Does your research involve physical interventions on the study participants? | | | | | | | |
| Does it involve invasive techniques? | | | | | | | |
| Does it involve collection of biological samples? | | | | | | | |
| Does your research involve processing of genetic information? | | | | | | | |
| For guidelines on what to include here, please refer to <u>section 2</u> of the "Guidance EU Ethics Self-Assessment BIGSSS-departs" document. | | | | | | | |



| 3. HUMAN CELLS / TISSUES | Yes | No | If yes, please indicate page in your research proposal | If yes, please describe how you will handle this issue. For guidelines on what to include here, please refer to <u>section 3</u> of the "Guidance EU Ethics Self-Assessment BIGSSS-departs" document. | | |
|---|-----|----|---|---|--|--|
| Does your research involve human cells or tissues (other than from Human Embryos/Fetuses, i.e. section 1)? | | | | | | |
| Are they available commercially? | | | | | | |
| Are they obtained within this project? | | | | | | |
| Are they obtained within another project? | | | | | | |
| Are they deposited in a biobank? | | | | | | |
| For guidelines on what to include here, please refer to <u>section 3</u> of the "Guidance EU Ethics Self-Assessment BIGSSS-departs" document. | | | | | | |



| 4. PERSONAL DATA | Yes | No | If yes, please indicate page in your research proposal | If yes, please describe how you will handle this issue. For more info on what to include here, please refer to <u>section 4</u> of the "Guidance EU Ethics Self- Assessment BIGSSS-departs" document. |
|--|-----|----|---|---|
| Does your research involve personal data collection and/or processing? | | | | |
| Does it involve the collection and/or processing of sensitive personal data (e.g.: health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction)? | | | | |
| Does it involve processing of genetic information? | | | | |



| For more info on what to include here, please refer to <u>section 4</u> of the "Guidance EU Ethics Self-Assessment BIGSSS-departs" document. | | | | | | | |
|--|--|--|--|--|--|--|--|
| Does your research involve further processing of previously collected personal data (secondary use)? | | | | | | | |
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| Does it involve tracking or observation of participants? | | | | | | | |
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| 5. ANIMALS | Yes | No | If yes, please indicate page in your research proposal | If yes, please describe how you will handle this issue. For more info on what to include here, please refer to <u>section 5</u> of the "Guidance EU Ethics Self- Assessment BIGSSS-departs" document. |
|--|-----------|----------|---|---|
| Does your research involve animals? | | | | |
| Are they vertebrates? | | | | |
| Are they non-human primates? | | | | |
| Are they genetically modified? | | | | |
| Are they cloned farm animals? | | | | |
| Are they endangered species? | | | | |
| For more info on what to include here, please refer to | section & | of the ' | Guidance EU Ethics S | elf-Assessment BIGSSS-departs" document. |



| 6. THIRD COUNTRIES (NON-EU COUNTRIES) | Yes | No | If yes, please indicate page in your research proposal | If yes, please name the countries involved and describe how you will handle this issue. For more info on what to include here, please refer to <u>section 6</u> of the "Guidance EU Ethics Self- Assessment BIGSSS-departs" document. |
|--|-----|----|---|---|
| Does your research involve non-EU countries? | | | | |
| Do you plan to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)? | | | | |
| Do you plan to import any material from non-EU countries into the EU? For data imports, please fill in also section 4. For imports concerning human cells or tissues, fill in also section 3. | | | | |
| Do you plan to export any material from the EU to non-EU countries? For data exports, please fill in also section 4. For exports concerning human cells or tissues, fill in also section 3. | | | | |



| If your research involves low and/or lower middle income countries, are benefits-sharing measures foreseen? | | | | | | |
|--|--|--|--|--|--|--|
| Could the situation in the country put the individuals taking part in the research at risk? | | | | | | |
| For more info on what to include here, please refer to <u>section 6</u> of the "Guidance EU Ethics Self-Assessment BIGSSS-departs" document. | | | | | | |



| 7. ENVIRONMENT, HEALTH & SAFETY | Yes | No | If yes, please indicate page in your research proposal | If yes, please describe how you will handle this issue. For more info on what to include here, please refer to <u>section 7</u> of the "Guidance EU Ethics Self- Assessment BIGSSS-departs" document. | | |
|--|-----|----|---|---|--|--|
| Does your research involve the use of elements that may cause harm to the environment, to animals or plants? For research involving animal experiments, please fill in also section 5. | | | | | | |
| Does your research deal with endangered fauna and/or flora and/or protected areas? | | | | | | |
| Does your research involve the use of elements that may cause harm to humans, including research staff? For research involving human participants, please fill in also section 2. | | | | | | |
| For more info on what to include here, please refer to section 7 of the "Guidance EU Ethics Self-Assessment BIGSSS-departs" document. | | | | | | |

| 8. DUAL USE | Yes | No | If yes, please indicate page in your research proposal | If yes, please describe how you will handle this issue. For more info on what to include here, please refer to <u>section 8</u> of the "Guidance EU Ethics Self- Assessment BIGSSS-departs" document. | | |
|---|-----|----|---|---|--|--|
| Does your research have the potential for military applications? | | | | | | |
| For more info on what to include here, please refer to section 8 of the "Guidance EU Ethics Self-Assessment BIGSSS-departs" document. | | | | | | |



| 9. EXCLUSIVE FOCUS ON CIVIL APPLICATIONS | Yes | No | If yes, please indicate page in your research proposal | If yes, please describe how you will handle this issue. For more info on what to include here, please refer to <u>section 9</u> of the "Guidance EU Ethics Self-Assessment BIGSSS-departs" document. |
|--|-----|----|---|--|
| Could your research raise concerns regarding the exclusive focus on civil applications? | | | | |
| For more info on what to include here, please refer to <u>section 9</u> of the "Guidance EU Ethics Self-Assessment BIGSSS-departs" document. | | | | |

| 10. MISUSE | Yes | No | If yes, please indicate page in your research proposal | If yes, please describe how you will handle this issue. For more info on what to include here, please refer to <u>section 10</u> of the "Guidance EU Ethics Self- Assessment BIGSSS-departs" document. |
|--|-----|----|---|--|
| Does your research have the potential for malevolent/criminal/terrorist abuse? | | | | |
| For more info on what to include here, please refer to section 10 of the "Guidance EU Ethics Self-Assessment BIGSSS-departs" document. | | | | |



| 11. OTHER ETHICS ISSUES | Yes | No | If yes, please indicate page in your research proposal | If yes, please specify, and describe how you will handle this/these issue/s. For more info on what to include here, please refer to <u>section 11</u> of the "Guidance EU Ethics Self-Assessment BIGSSS-departs" document. |
|--|-----------|-------------------|---|--|
| Are there any other ethics issues that should be taken into consideration? | | | | |
| For more info on what to include here, please refer to | section 1 | 1 <u>1</u> of the | "Guidance EU Ethics | Self-Assessment BIGSSS-departs" document. |

| 12. CONFIRMATION & ETHICS SELF- ASSESSMENT | Yes | No | |
|--|-----|----|--|
| I confirm that I have taken into account all ethics issues described above and that, if any ethics issues apply, I will complete a binding ethics self-assessment in the case of a successful application. | | | |